

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:  
Helland et al.

Application No. 09/425,102

Filed: October 22, 1999



Art Unit: 2756

NOV 15 2000

Technology Center 2100

CERTIFICATE OF MAILING

For: SOFTWARE COMPONENT EXECUTION  
MANAGEMENT USING CONTEXT  
OBJECTS FOR TRACKING  
EXTERNALLY-DEFINED INTRINSIC  
PROPERTIES OF EXECUTING  
SOFTWARE COMPONENTS WITHIN  
AN EXECUTION ENVIRONMENT

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on November 8, 2000 as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

  
Attorney for Applicant

Examiner: Not assigned

Date: November 8, 2000

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. § 1.97(b)(3)**

TO THE COMMISSIONER FOR PATENTS  
Washington, DC 20231

Sir:

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Applicants filed this Information Disclosure Statement before the mailing date of a first Office action on the merits. However, if the Patent Office determines that a fee is required for Applicants to file this Information Disclosure Statement, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A **duplicate** copy of this Information Disclosure Statement is enclosed.

PATENT

☒ Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN CAMPBELL  
LEIGH & WHINSTON, LLP

By



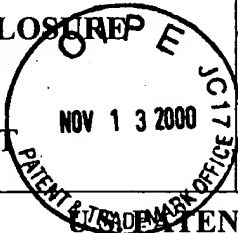
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cc: Client

<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>BY APPLICANT</b>				Docket: 3382-53553		App: 09/425,102	
				Applicant: Helland et al.		RECEIVED	
				Filed: October 22, 1999		NOV 15 2000 Art Unit: 2756 Technology Center 2100	



PATENT DOCUMENTS							
Init.*		Number	Date	Name	Class	Sub	Filed
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		5,794,038	5/1996	Stutz et al.			
		5,958,004	9/1999	Helland et al.			
		5,581,760	12/1996	Atkinson et al.			
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		Number	Date	Country	Class	Sub	
		EP 0 777 178 A	6/4/1997	Europe			
		EP 0 738 966 A	10/23/96	Europe			
		WO 98 02809 A	21/22/98	International			
		EP 0 414 624 A	2/27/91	Europe			
		EP 0 674 260 A	9/27/95	Europe			
EXAMINER:				DATE			
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	Applicant: Helland et al.		<b>Technology Center 2100</b>
	Filed: October 22, 1999	Art Unit: 2756	

**OTHER DOCUMENTS**

			R. Limprecht, "Microsoft Transaction Server", IEEE, pp. 14-18 (2/1997)
			G. Eddon, "COM+: The Evolution of Component Services", IEEE on Computer, pp. 104-106 (7/1999)
			Object Transaction Services, OMG document 94.6.1 (6/1994)
			(No author given) "Transaction Context Objects in Microsoft TRansaction Server", MSDN, pp (2) (6/1997)
			D. Chappell, "The Microsoft Transaction Server (MTS) – Transactions Meet Components", white paper, Microsoft.com (6/1997)
			"Implementing Locale As Dynamically Loaded Shared Object Files", ibm Technical Disclosure Bulletin, US, IBM Corp., New York, Vol. 34, No. 12, pps. 117-118 XP000308454 ISSN: 0018-8689 – the whole document.
			Tripathi, A.R. et al.: "Design Of A Remote Procedure Call System For Object-Oriented Distributed Programming", Software Practice & Experience, GB, John Wiley & Sons Ltd., Chichester, Vol. 28, No. 1, pp. 23-47 XP000723715, ISSN: 0038-0644 – the whole document

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